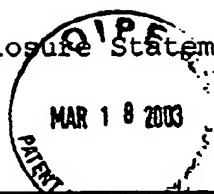


Information Disclosure Statement
USSN 10/075,444
March 14, 2003
Page 4



RECEIVED

MAR 19 2003

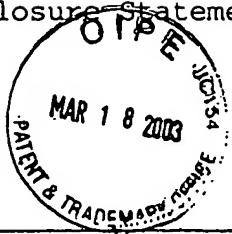
Technology Center 2100

Form PTO-1449 (Modified)	ATTY DOCKET NO. B-4857 940678-2	U.S. SERIAL NO. 10/075,444
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANT(S) Richard Brown, et al.	
	FILING DATE February 15, 2002	GROUP 2152

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE OR 102(e) DATE IF APPROPRIATE
A	2002/0012432 A1	Pub- lished: 1/2002	England et al.	380	231	6/28/01
A	2002/0023212 A1	Pub- lished: 2/2002	Proudler	713	164	8/1/01
A	5,032,979 A	7/1991	Hecht et al.	364	200	
R	5,038,281	8/1991	Peters	364	200	
D	5,361,359	11/1994	Tajalli et al.	395	700	
O	5,421,006 A	5/1995	Jablon et al.	395	575	
O	5,444,850	8/1995	Chang	395	200.1	
O	5,680,547 A	10/1997	Chang	395	200.01	
O	5,694,590 A	12/1997	Thuraisingham et al.	395	600	
A	5,809,145 A	9/1998	Slik	380	25	
A	5,815,665	9/1998	Teper et al.	395	200.59	
A	5,867,646 A	2/1999	Benson et al.	395	186	
X	5,903,732	5/1999	Reed et al.	395	200.59	
X	5,960,177	9/1999	Tanno	395	200.59	
X	5,987,608 A	11/1999	Roskind	713	200	
X	6,023,765	2/2000	Kuhn	713	200	
X	6,067,559	5/2000	Allard et al.	709	202	
X	6,078,948	6/2000	Podgorny et al.	709	204	
V	6,081,830	6/2000	Schindler	709	204	
X	6,138,239	10/2000	Veil	713	200	
X	6,289,462 B1	9/2001	McNabb et al.	713	201	
X	6,330,670 B1	12/2001	England et al.	713	2	

Information Disclosure Statement
USSN 10/075,444
March 14, 2003
Page 5



RECEIVED

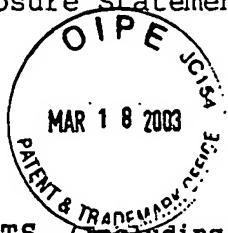
MAR 19 2003

Technology Center 2100

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
A	application no.: 0020441.2	filed: 8/2000	GB			
A	2 187 855 A	6/1997	CA			
A	0 825 511 A	2/1998	EP			
A	0 849 657 A	6/1998	EP			
A	0 893 751 A1	1/1999	EP			
A	0 992 958 A2	4/00	EP			
A	1 030 237 A1	8/2000	EP			
A	1 049 036 A2	11/2000	EP			
A	1 076 279 A1	2/2001	EP			
A	1 107 137 A2	6/2001	EP			
A	2 317 476 A	3/1998	GB			
A	2 361 153 A	10/2001	GB			
A	95/24696 A	9/1995	WO			
A	98/15082 A	4/1998	WO			
A	98/36517 A	8/1998	WO			
A	00/19324 A1	4/2000	WO			
A	00/48062	8/2000	WO			
A	00/52900 A1	9/2000	WO			
A	00/54125	9/2000	WO			
A	00/54126	9/2000	WO			
A	00/58859	10/2000	WO			
A	00/73880 A1	12/2000	WO			
A	00/73904 A1	12/2000	WO			
C	00/73913 A1	12/2000	WO			
B	01/09781 A2	2/2001	WO			
B	01/27722 A1	4/2001	WO			
B	01/65334 A2	9/2001	WO			
B	01/65366 A1	9/2001	WO			

Information Disclosure Statement
USSN 10/075,444
March 14, 2003
Page 6



RECEIVED

MAR 19 2003

Technology Center 2100

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

A	Anderson, R., et al., "Tamper Resistance - a Cautionary Note," ISENIX Association, Second USENIX Workshop on Electronic Commerce, pp 1-11 (November 18-21, 1996).	
B	Berger, J.L., et al., "Compartmented Mode Workstation: Prototype Highlights," IEEE Transactions on Software Engineering, Vol. 16, No. 6 (June 1990).	
C	Chaum, D., "Security without Identification: Transaction Systems to Make Big Brother Obsolete," Communications of the ACM, Vol. 28, No. 10, pp 1030-1044 (October 1985).	
D	Hallyn, S.E., et al., "Domain and Type Enforcement for Linux," Internet: < http://www.usenix.org/publications/library/proceedings/als2000/full_papers/hallyn/hallyn_html/ >. (Retrieved April 24, 2002).	
E	Loscocco, P., et al., "Integrating Flexible Support for Security Policies into the Linux Operating System," Internet: < www.nsa.gov/selinux > (Retrieved April 24, 2002).	
F	Milojicic, D., et al., "Process Migration," Internet: < http://www.hpl.hp.com/techreports/1999/HPL-1999-21.html >. pp 1-48 (December 5, 1998).	
G	Scheibe, M., "TCPA Security: Trust your Platform!" Quarterly Focus PC Security, pp 44-47. Internet: < http://www.silicon-trust.com/pdf/secure_PDF/Seite_44-47.pdf >	
H	Senie, D., "Using the SOCK_PACKET mechanism in Linux to gain complete control of an Ethernet Interface," Internet: < http://www.senie.com/dan/technology/sock_packet.html >. (Retrieved April 24, 2002).	
I	Wiseman, S., et al., "The Trusted Path between SMITE and the User," Proceedings 1988 IEEE Symposium on Security and Privacy, pp 147-155 (April 18-21, 1988).	
J	Yee, B., "Using Secure Coprocessors," Doctoral thesis - Carnegie Mellon University, pp 1-94 (May 1994).	
K	Boot Integrity Services Application Programming Interface, Version 1.0, Intel Corporation, pp 1-60 (December 28, 1998).	

Information Disclosure Statement
USSN 10/075,444
March 14, 2003
Page 7

RECEIVED

MAR 19 2003

Technology Center 2100



1	"Building a Foundation of Trust in the PC," Trusted Computing Platform Alliance, pp 1-7 (January 2000).
2	"HP Virtualvault: Trusted Web-server Platform Product Brief," Internet: < http://www.hp.com/security/products/virtualvault/papers/brief_4.0/ > pp 1-6.
3	"Information technology - Security techniques - Entity authentication; Part 3: Mechanisms using digital signature techniques," ISO/IEC 9798-3, Second Edition, pp 1-6 (1998).
2	"Information technology - Security techniques - Key management - Part 3: Mechanisms using asymmetric techniques," ISO/IEC 11770-3, pp 1-34 (1999).
2	"NIST Announces Technical Correction to Secure Hash Standard," Internet: < http://www.nist.gov/public_affairs/releases/hashstan.htm > pp 1-2 (October 24, 2002).
5	"Secure Computing with JAVA™: Now and the Future," Internet: < http://java.sun.com/marketing/collateral/security.html > pp 1-29 (April 2, 2002).
3	"Secure Execution Environments, Internet Safety through Type-Enforcing Firewalls," Internet: < http://www.ghp.com/research/nailabs/secure-execution/internet-safety.asp > (Retrieved April 24, 2002).
3	Trusted Computing Platform Alliance (TCPA), Main Specification, Version 1.0, pp 1-284 (2000).
3	Trusted Computing Platform Alliance (TCPA), TCPA Design Philosophies and Concepts, Version 1.0, Internet: < www.trustedpc.org > pp 1-30 (January 2001).

EXAMINER	DATE CONSIDERED
<i>[Signature]</i>	9/29/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PATENT APPLICATION

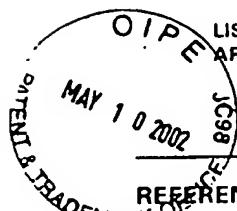
Sheet 1 of 2

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
30006610-2	10/075,444	8754
APPLICANT		
Richard BROWN et al.		
FILING DATE	GROUP	
02/15/02	2152 2143	



REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
1A					
1B					
1C					
1D					
1E					
1F					
1G					
1H					
1I					
1J					
1K					

RECEIVED

MAY 14 2002

Technology Center 2100

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION
							YES NO
3	1L	WO 00/48063	08/17/2000	Hewlett-Packard Company			
	1M						
	1N						
	1O						
	1P						

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

3	1Q	C.I. DALTON et al., "Applying Military Grade Security to the Internet", Computer Networks and ISDN Systems, 1997, pp. 1799-1808, Vol. 29.
3	1R	C. DALTON et al., "An Operating System Approach to Securing E-Services", Communication of the ACM, 2001, 4 pgs., Vol. 44, Issue 2, Association for Computing Machinery, Inc.
3	1S	T.H. CHOO et al., "Trusted Linux: A Secure Platform for Hosting Compartmented Applications", Enterprise Solutions, 2001, pp.1-14, Interworks

EXAMINER

DATE CONSIDERED

4/26/05

PATENT APPLICATION

Sheet 2 of 2

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT
(Use several sheets if necessary)

ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
30006610-2	10/075,444	
APPLICANT		
Richard BROWN et al.		
FILING DATE	GROUP	
02/15/02	21622143	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
2A					
2B					
2C					
2D					
2E					
2F					
2G					
2H					
2I					
2J					
2K					

RECEIVED

MAY 14 2002

Technology Center 2100

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	2L							
	2M							
	2N							
	2O							
	2P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

2Q	C.I. DALTON et al., "Design of Secure Unix", Elsevier Information Security Report, 2002, 10 pgs., Hewlett-Packard Laboratories.
2R	
2S	

EXAMINER

DATE CONSIDERED

6/26/05

Information Disclosure Statement
USSN 10/075,444
December 9, 2003
Page 4



RECEIVED

DEC 17 2003

Technology Center 2100

Form PTO-1449 (Modified)	ATTY DOCKET NO. B-4857 940678-2	U.S. SERIAL NO. 10/075,444
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANT(S) Richard Brown, et al.	
	FILING DATE February 15, 2002	GROUP 2152

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
A	09/728,827		Proudler et al.			11/28/2000
A	09/920,554		Proudler			8/1/2001
A	10/080,466		Pearson et al.			2/22/2002
A	10/165,840		Dalton			6/7/2002
A	10/175,183		Griffin et al.			6/18/2002
A	10/175,185		Pearson et al.			6/18/2002
A	10/175,395		Pearson et al.			6/18/2002
A	10/175,542		Griffin et al.			6/18/2002
A	10/175,553		Griffin et al.			6/18/2002
A	10/206,812		Proudler			7/26/2002
A	10/240,137		Dalton et al.			9/26/2002
A	10/240,139		Choo et al.			9/26/2002
A	10/303,690		Proudler et al.			11/21/2002

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO

Information Disclosure Statement
USSN 10/075,444
December 9, 2003
Page 5



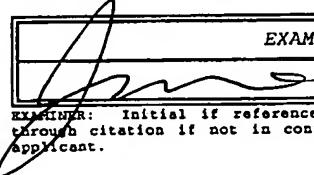
RECEIVED

DEC 17 2003

Technology Center 2100

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

A	Burke, J.P., "Security Suite Gives Sniffer Programs Hay Fever," HP Professional, Vol. 8, No. 9, 3 pages total (September 1994).
---	---

EXAMINER	DATE CONSIDERED
	9/26/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.